



Attorney Docket No. P5783  
Client/Matter No. 80168.0242  
Express Mail No. EL700670702US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Michael J. Wookey

Serial No. 09/780,038

Filed: February 9, 2001

For: NETWORKED INSTALLATION SYSTEM FOR  
DEPLOYING SYSTEMS MANAGEMENT PLATFORMS

Group Art Unit: 2122

Examiner:

RESPONSE TO NOTICE TO FILE MISSING PARTS

BOX MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application, Filing Date Granted, mailed March 16, 2001, submitted herewith is a signed Declaration for Patent Application; a check in the amount of \$826.00 to cover the filing fee, a check in the amount of \$130 to cover the surcharge for a large entity; and a copy of the PTO Notice form. Any fee deficiency associated with this communication may be charged to Deposit Account No. 50-1123.

Also enclosed is a Recordation Form Cover Sheet PTO 1595 with executed Assignment and recording fee of \$40.00. Please forward the Assignment to the Recording Branch for recording.

Dated: 14 May, 2001

William J. Kubida, Reg. No. 29,664

HOGAN & HARTSON LLP

One Tabor Center

1200 17th Street, Suite 1500

Denver, Colorado 80202

(719) 448-5909 Tel

(303) 899-7333 Fax



05-16-01

SECTOR  
4

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Client/Matter No. 80168.0242  
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In re Application of:

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For: NETWORKED INSTALLATION SYSTEM FOR  
DEPLOYING SYSTEMS MANAGEMENT PLATFORMS

Group Art Unit: 2122

Examiner:

CERTIFICATE OF MAILING BY EXPRESS MAIL

BOX MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the following documents:

1. Response to Notice to File Missing Parts;
2. Copy of Notice to File Missing Parts of Application Filing Date Granted, form and surcharge payment of \$130;
3. Executed Declaration and check for \$826 to cover filing fee;
4. Recordation Form Cover Sheet PTO 1595 with Executed Assignment and Recording Fee of \$40.00;
5. Certificate of Mailing By Express Mail;
6. Return postcard;

relating to the above application, were deposited as "Express Mail", Mailing Label No. EL700670702US with the United States Postal Service, addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C., 20231, 15 May, 2001.

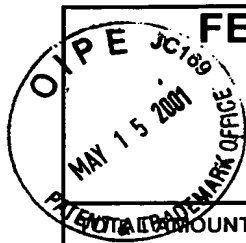
15 May 2001  
Date

14 May 2001  
Date

Mailer

William J. Kubida, Reg. No. 29,664  
HOGAN & HARTSON LLP  
One Tabor Center  
1200 17th Street, Suite 1500  
Denver, Colorado 80202  
(719) 448-5909 Tel  
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# FEE TRANSFERTAL for FY 2000



Complete if Known

Application Number 09/780,038  
Filing Date February 9, 2001  
First Named Inventor Michael J. Wookey  
Examiner Name  
Group / Art Unit 2122  
Attorney Docket No. P5783

AMOUNT OF PAYMENT (\$) (\$996.00)

## METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

50-1123

Deposit  
Account  
Name

Hogan & Hartson L.L.P.

- ☒ Charge Any Additional Fee Required Under 37 CFR § 1.16 and 1.17  
☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
710	355	Utility Filing Fee	710
320	160	Design filing fee	
490	245	Plant filing fee	
710	355	Reissue filing fee	
150	75	Provisional filing fee	

SUBTOTAL (1) (\$710.00)

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
22	-20**= 2	18	36
Independent Claims 4	-3**= 1	80	80
Multiple Dependent			116

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code	Small Entity Fee Code	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	**Reissue independent claims over original patent
110 18	210 9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$116.00)

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	130.00
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for ex parte reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
110	55	Extension for reply within first month	
390	195	Extension for reply within second month	
890	445	Extension for reply within third month	
1,390	695	Extension for reply within fourth month	
1,890	945	Extension for reply within fifth month	
310	155	Notice of Appeal	
310	155	Filing a brief in support of an appeal	
270	135	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,240	620	Petition to revive - unintentional	
1,240	620	Utility issue fee (or reissue)	
440	220	Design issue fee	
600	300	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Petitions related to provisional applications	
240	240	Submission of Information Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	40.00
710	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
710	355	For each additional invention to be examined (37 CFR § 1.129(b))	
710	355	Request for Continued Examination	
		Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$170.00)

## SUBMITTED BY

Name (Print/Type) William J. Kubida

Registration No. 29,664  
(Attorney/Agent)

## Complete (if applicable)

Telephone (719) 448-5900

Signature

Date

14 May 01



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/780,038	02/09/2001	Michael J. Wookey	P5783

CONFIRMATION NO. 8444

## FORMALITIES LETTER



\*OC00000005870320\*

William J. Kubida, Esq.  
Hogan & Hartson, LLP  
Suite 1500  
1200 17th Street  
Denver, CO 80202

Date Mailed: 03/16/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$116.
  - \$36 for 2 total claims over 20.
  - \$80 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 956.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/16/2001 ENGUYEN1 00000011 09780038  
710.00 OP  
36.00 OP  
80.00 OP  
130.00 OP  
01 FC:101  
02 FC:103  
03 FC:102  
04 FC:105